



## CONFLICT OF INTEREST CODE AMENDMENT FORM

County of Ventura

AUG 13 2021

Clerk of the Board

**Name of Agency:** Ventura County Medi-Cal Managed Care Commission dba Gold Coast Health Plan

**Mailing Address:** 711 E. Daily Drive, Suite 106

**City:** Camarillo CA ZIP: 93010

**Contact Person:** Maddie Gutierrez, MMC

**Phone Number:** (805) 437-5512

**Email Address:** mgutierrez@goldcop.org

This agency has reviewed its Conflict of Interest Code and has determined that an Amendment is required for the following reason(s): (check all that apply)

- ☒ Adding new positions that must be designated
- ☒ Revising Disclosure Categories
- ☒ Revising Titles of existing positions
- ☒ Deleting Positions that no longer need to be designated
- ☐ Revising Filing Officer designee
- ☐ Other (describe): \_\_\_\_\_

Please submit this form along with the Amended Conflict of Interest Code documents to:

Clerk of the Board's Office  
800 S. Victoria Ave. #1920  
Ventura, CA 93009

Please contact the Clerk of the Board's Office at (805) 654-2251 or email [form700clerk@ventura.org](mailto:form700clerk@ventura.org) if you have any questions.

AUG 13 2021

RESOLUTION NO. 2021 – 006

Clerk of the Board

**RESOLUTION OF THE VENTURA COUNTY MEDI-CAL MANAGED  
CARE COMMISSION, DOING BUSINESS AS THE GOLD COAST  
HEALTH PLAN, AMENDING THE CONFLICT OF INTEREST CODE**

**WHEREAS**, the State of California enacted the Political Reform Act of 1974, Government Code section 81000 et seq. (the "Act"), which contains provisions relating to conflicts of interest which potentially affect all officers, employees and consultants of the Ventura County Medi-Cal Managed Care Commission, doing business as the Gold Coast Health Plan (the "Plan") and requires all public agencies to adopt and promulgate a Conflict of Interest Code; and

**WHEREAS**, the Board of Directors adopted a Conflict of Interest Code (the "Code") on October 29, 2018, in compliance with the Act; and

**WHEREAS**, subsequent changed circumstances within the Plan have made it advisable and necessary pursuant to Sections 87306 and 87307 of the Act to amend and update the Plan's Code; and

**WHEREAS**, the potential penalties for violation of the provisions of the Act are substantial and may include criminal and civil liability, as well as equitable relief which could result in the Plan being restrained or prevented from acting in cases where the provisions of the Act may have been violated; and

**WHEREAS**, notice of the time and place of a public meeting on, and of consideration by the Commission of, the proposed amended Code was provided each affected designated position and publicly posted for review at the offices of the Plan; and

**WHEREAS**, a public meeting was held upon the proposed amended Code at a regular meeting of the Commission on April 26, 2021, at which all present were given an opportunity to be heard on the proposed amended Code.

**BE IT RESOLVED** by the Members of the Ventura County Medi-Cal Managed Care Commission, doing business as the Gold Coast Health Plan as follows:

Section 1. The Commission does hereby adopt the proposed amended Conflict of Interest Code, a copy of which is attached hereto;

Section 2. The Conflict of Interest Code shall be on file with the Clerk to the Commission and available to the public for inspection and copying during regular business hours;

Section 3. The Conflict of Interest Code shall be submitted to the Board of Supervisors of the County of Ventura for approval and said Code shall become effective immediately after the Board of Supervisors approves the proposed amended Code as submitted.

Section 4. All previous Conflict of Interest Codes of the Plan shall be rescinded as of the effective date of the said proposed code as approved by the County of Board of Supervisors.


**PASSED, APPROVED AND ADOPTED** by the Ventura County Medi-Cal Managed Care Commission at a regular meeting on the 26th day of April, 2021, by the following vote:

AYE: Commissioners Antonio Alatorre, Shawn Atin, Theresa Cho, M.D., Laura Espinosa, Dr. Sevet Johnson, Gagan Pawar, M.D., Dee Pupa, Supervisor Carmen Ramirez, Jennifer Swenson, and Scott Underwood, M.D.

NAY: None.

ABSTAIN: None.

ABSENT: Commissioner Andrew Lane.

  
Chair

Attest:

  
Clerk to the Commission

# **CONFLICT OF INTEREST CODE OF THE VENTURA COUNTY MEDI-CAL MANAGED CARE COMMISSION DBA GOLD COAST HEALTH PLAN**

**(Amended ~~October 29, 2018~~ April 26, 2021)**

The Political Reform Act (Gov. Code § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. § 18730 that contains the terms of a standard conflict of interest code which can be incorporated by reference in an agency's code. After public notice and hearing Section 18730 may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This incorporation page, Regulation 18730 and the attached Appendix designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **Gold Coast Health Plan (the "Plan")**.

All officials and designated positions required to submit a statement of economic interests shall file their statements with the **Clerk to the Commission** as the Plan's Filing Officer. The **Clerk to the Commission** shall make and retain a copy of all statements filed by Members of the Commission and the Chief Executive Officer, and forward the originals of such statements to the Clerk of the Ventura County Board of Supervisors. The **Clerk to the Commission** shall retain the original statements filed by all other designated

positions and make all retained statements available for public inspection and reproduction during regular business hours. (Gov. Code Section 81008.)

# APPENDIX

## CONFLICT OF INTEREST CODE OF THE GOLD COAST HEALTH PLAN

(Amended ~~October 29, 2018~~ April 26, 2021)

### PART "A"

#### OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

District Officials who manage public investments, as defined by 2 Cal. Code of Regs. § 18700.3(b), are NOT subject to the District's Code, but are subject to the disclosure requirements of the Act. (Government Code Section 87200 et seq.). [Regs. § 18730(b)(3)] These positions are listed here for informational purposes only.

It has been determined that the positions listed below are officials who manage public investments<sup>1</sup>:

Commissioners  
Chief Financial Officer

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<sup>1</sup> Individuals holding one of the above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by § 87200.

## DESIGNATED POSITIONS

### GOVERNED BY THE CONFLICT OF INTEREST CODE

#### DESIGNATED EMPLOYEES' TITLE OR FUNCTION

#### DISCLOSURE CATEGORIES ASSIGNED

<u>Associate Chief Medical Officer</u>	<u>4</u>
<u>Associate Clerk of the Board/Sr. Executive Assistant</u>	<u>4</u>
Chief Administrative Officer	5
<u>Chief Compliance Officer</u>	<u>1, 2</u>
Chief Diversity Officer	6
Chief Executive Officer	1, 2
Chief Medical Officer	4
Chief Operating Officer	4, 6
<u>Clerk of the Board/Sr. Executive Assistant</u>	<u>4</u>
Contracts Manager	4
<u>Director, Care Management</u>	<u>4</u>
<u>Director, Compliance</u>	<u>5</u>
Director, Financial Analysis	4
<u>Director, Finance</u>	<u>1, 2</u>
<del>Director, Government &amp; Community Relations</del> [Duties now being handled by Executive Director, Strategy and External Affairs]	<u>4</u>
Director, Health Education, Cultural & Linguistic Services	5
Director, Medical	5
<u>Director, Network Operations</u>	<u>5</u>
Director, Operations	4
Director, Pharmacy	5
Director, Quality Improvement	5
Director, Strategy & Enterprise Analytics	4
<u>Director, Utilization Management</u>	<u>4</u>
Executive Director, Health Services	5
Executive Director, Human Resources <u>&amp; Facilities</u>	5

**DESIGNATED EMPLOYEES'**  
**TITLE OR FUNCTION**

**DISCLOSURE CATEGORIES**  
**ASSIGNED**

<u>Executive Director, Strategy &amp; External Affairs</u>	<u>5</u>
Manager, Care Management	5
Manager, Claims Transactions	5, 6
<u>Manager, Business Solutions</u>	<u>5</u>
<u>Manager, Decision Support Services</u>	<u>5</u>
<u>Manager, Facilities</u>	<u>4</u>
<u>Manager, Grievance and Appeals</u>	<u>5</u>
<u>Manager, Human Resources</u>	<u>5, 6</u>
Manager, Information Security	5
Manager, IT Infrastructure <u>&amp; Operations-</u>	5
Manager, Member Services	5
Manager, Operations Support Services	4
Manager, Procurement Operations and Sourcing	4
Manager, Project Management Organization	5
Manager, Quality Improvement	5
Manager, Quality Improvement Project	5
<u>Manager, Utilization Management</u>	<u>4</u>
<u>Privacy Officer</u>	<u>5</u>
Procurement Officer	4
Project Manager (ALL)	5
Purchasing Coordinator	4
Senior Corporate Attorney	4
Senior Director, Information Technology	5
Senior Director, Network Management	5
<u>Senior Director, Operations</u>	<u>4</u>
<u>Senior Director, Population Health and Equity</u>	<u>4</u>
<u>Sr. Manager, Communications &amp; Marketing</u>	<u>4</u>
Supervisor, Claims	6

**DESIGNATED EMPLOYEES'**  
**TITLE OR FUNCTION**

**DISCLOSURE CATEGORIES**  
**ASSIGNED**

**MEMBERS OF BOARDS,**  
**COMMITTEES AND COMMISSIONS**

Provider Advisory Committee

4

Consultants and New Positions<sup>2</sup>

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<sup>2</sup> Individuals providing services as a Consultant defined in Regulation 18700.3 or in a new position created since this Code was last approved that makes or participates in making decisions shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The Chief Executive Director may determine that, due to the range of duties or contractual obligations, it is more appropriate to assign a limited disclosure requirement. A clear explanation of the duties and a statement of the extent of the disclosure requirements must be in a written document. (Gov. Code Sec. 82019; FPPC Regulations 18219 and 18734.) The Chief Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code. (Gov. Code Sec. 81008.)

## PART "B"

### DISCLOSURE CATEGORIES

The disclosure categories listed below identify the types of economic interests that the designated position must disclose for each disclosure category to which he or she is assigned. "Investment" means financial interest in any business entity (including a consulting business or other independent contracting business) and are reportable if they are either located in, doing business in, planning to do business in, or have done business during the previous two years in the jurisdiction of the Agency.

**Category 1:** All investments and business positions in business entities, and sources of income including gifts, loans and travel payments, that are located in, do business in, or own real property within the jurisdiction of the Agency, including any leasehold, beneficial or ownership interest or option to acquire property.

**Category 2:**

All interests in real property which is located in whole or in part within, or not more than two (2) miles outside, the jurisdiction of the Agency.

**Category 3:** All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that are engaged in land development, construction or the acquisition or sale of real property within the jurisdiction of the Agency.

**Category 4:** All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that provide services, products, materials, machinery, vehicles or equipment of a type purchased or leased by the Agency.

**Category 5:** All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that provide services, products, materials, machinery, vehicles or equipment of a type purchased or leased by the designated position's department, unit or division.

**Category 6:** All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, if such entities or sources have filed claims against the Agency in the past 2 years, or have a claim pending before the Agency.

# **CONFLICT OF INTEREST CODE OF THE VENTURA COUNTY MEDI-CAL MANAGED CARE COMMISSION DBA GOLD COAST HEALTH PLAN**

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Director, Quality Improvement	5
Director, Strategy & Enterprise Analytics	4
Director, Utilization Management	4
Executive Director, Health Services	5
Executive Director, Human Resources & Facilities	5
Executive Director, Strategy & External Affairs	5
Manager, Business Solutions	5

**DESIGNATED EMPLOYEES'**  
**TITLE OR FUNCTION**

**DISCLOSURE CATEGORIES**  
**ASSIGNED**

Manager, Care Management	5
Manager, Claims Transactions	5, 6
Manager, Decision Support Services	5
Manager, Facilities	4
Manager, Grievance and Appeals	5
Manager, Human Resources	5, 6
Manager, Information Security	5
Manager, IT Infrastructure & Operations	5
Manager, Member Services	5
Manager, Operations Support Services	4
Manager, Procurement Operations and Sourcing	4
Manager, Project Management Organization	5
Manager, Quality Improvement	5
Manager, Quality Improvement Project	5
Manager, Utilization Management	4
Privacy Officer	5
Procurement Officer	4
Project Manager (ALL)	5
Purchasing Coordinator	4
Senior Corporate Attorney	4
Senior Director, Information Technology	5
Senior Director, Network Management	5
Senior Director, Operations	4
Senior Director, Population Health and Equity	4
Sr. Manager, Communications & Marketing	4
Supervisor, Claims	6

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