

MONTHLY TRANSACTIONS REPORT - SEPTEMBER 2020

Trade Ticket	Transaction Date	Purchase/ Sale	Par Amount	Security Type	Security Name	Maturity Date	Yield
12593	09/01/20	Purchase	\$ 20,000,000.00	CP	TOYOTA MOTOR CREDIT CORP	12/22/20	0.230
12594	09/01/20	Purchase	\$ 10,000,000.00	YCD	NATL BANK OF KUWAIT NY	12/30/20	0.290
12595	09/02/20	Purchase	\$ 345,000.00	MUNI	OCEANSIDE UNIFIED SCHOOL DISTRICT	10/01/20	0.250
12596	09/02/20	Purchase	\$ 10,000,000.00	CP	TOYOTA MOTOR CREDIT CORP	01/29/21	0.260
12597	09/03/20	Purchase	\$ 250,000.00	MUNI	OXNARD SCHOOL DISTRICT	08/01/21	0.407
12598	09/03/20	Purchase	\$ 350,000.00	MUNI	OXNARD SCHOOL DISTRICT	08/01/22	0.587
12599	09/03/20	Purchase	\$ 550,000.00	MUNI	OXNARD SCHOOL DISTRICT	08/01/23	0.692
12600	09/03/20	Purchase	\$ 20,000,000.00	YCD	NATL BANK OF KUWAIT NY	09/30/20	0.160
12601	09/04/20	Purchase	\$ 20,000,000.00	CP	EXXON MOBIL CORP	03/25/21	0.180
12602	09/04/20	Purchase	\$ 10,000,000.00	YCD	NATL BANK OF KUWAIT NY	10/30/20	0.190
12603	09/08/20	Purchase	\$ 20,000,000.00	CP	TOYOTA MOTOR CREDIT CORP	01/08/21	0.240
12604	09/08/20	Purchase	\$ 10,000,000.00	CP	EXXON MOBIL CORP	01/05/21	0.130
12605	09/08/20	Purchase	\$ 10,000,000.00	YCD	TORONTO DOMINION BANK NY	02/01/21	0.170
12606	09/09/20	Purchase	\$ 3,000,000.00	MUNI	STATE OF CALIFORNIA	11/01/21	0.150
12607	09/09/20	Purchase	\$ 3,000,000.00	MUNI	STATE OF CALIFORNIA	05/01/21	0.151
12608	09/09/20	Purchase	\$ 8,146,000.00	CP	KAISER FOUNDATION HOSPITAL	02/10/21	0.160
12609	09/09/20	Purchase	\$ 10,000,000.00	CP	EXXON MOBIL CORP	01/08/21	0.120
12610	09/10/20	Purchase	\$ 380,000.00	MUNI	HAYWARD UNIFIED SCHOOL DISTRICT	08/01/21	0.355
12611	09/10/20	Purchase	\$ 285,000.00	MUNI	HAYWARD UNIFIED SCHOOL DISTRICT	08/01/22	0.555
12612	09/10/20	Purchase	\$ 295,000.00	MUNI	HAYWARD UNIFIED SCHOOL DISTRICT	08/01/23	0.680
12613	09/10/20	Purchase	\$ 20,000,000.00	CP	KOREA DEVELOPMENT BK NY	06/08/21	0.230
12614	09/10/20	Purchase	\$ 10,000,000.00	YCD	OVERSEA-CHINESE BK CO NY	02/12/21	0.180
12615	09/11/20	Purchase	\$ 20,000,000.00	SUPRANATIONAL	INTL BK RECON & DEVELOP	05/24/21	0.172
12616	09/15/20	Purchase	\$ 20,000,000.00	GA	FHLMC	09/15/23	0.350
12617	09/15/20	Purchase	\$ 20,000,000.00	GA	FHLMC	09/15/23	0.350
12618	09/15/20	Purchase	\$ 5,000,000.00	CP	EXXON MOBIL CORP	04/21/21	0.190
12619	09/15/20	Purchase	\$ 12,068,000.00	CP	LOS ANGELES DEPT OF AIRPORTS	11/13/20	0.120
12620	09/16/20	Purchase	\$ 20,000,000.00	CP	KOREA DEVELOPMENT BK NY	05/13/21	0.200
12621	09/16/20	Purchase	\$ 10,000,000.00	CP	EXXON MOBIL CORP	02/01/21	0.160
12622	09/16/20	Purchase	\$ 20,000,000.00	YCD	OVERSEA-CHINESE BK CO NY	03/15/21	0.190
12623	09/17/20	Purchase	\$ 1,500,000.00	MUNI	UNIVERSITY OF CALIFORNIA	11/01/22	0.349
12624	09/17/20	Purchase	\$ 560,000.00	MUNI	ALVORD UNIFIED SCHOOL DISTRICT	08/01/21	0.334
12625	09/17/20	Purchase	\$ 570,000.00	MUNI	ALVORD UNIFIED SCHOOL DISTRICT	08/01/22	0.534
12626	09/17/20	Purchase	\$ 615,000.00	MUNI	ALVORD UNIFIED SCHOOL DISTRICT	08/01/23	0.671
12627	09/17/20	Purchase	\$ 10,000,000.00	CP	KOREA DEVELOPMENT BK NY	05/14/21	0.190
12628	09/18/20	Purchase	\$ 25,000,000.00	GA	FHLMC	09/18/23	0.350
12630	09/22/20	Purchase	\$ 20,000,000.00	YCD	NATL BANK OF KUWAIT NY	10/26/20	0.190
12631	09/22/20	Purchase	\$ 10,000,000.00	YCD	NATL BANK OF KUWAIT NY	02/05/21	0.320
12632	09/23/20	Purchase	\$ 6,235,000.00	GA	FHLMC	09/08/23	0.306
12633	09/23/20	Purchase	\$ 10,000,000.00	YCD	KOREA DEVELOPMENT BK NY	03/05/21	0.200
12634	09/24/20	Purchase	\$ 140,000.00	MUNI	MENDOTA UNIFIED SCHOOL DISTRICT	08/01/21	0.339

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12635	09/24/20	Purchase	\$ 100,000.00	MUNI	MENDOTA UNIFIED SCHOOL DISTRICT	08/01/23	0.662
12636	09/24/20	Purchase	\$ 10,000,000.00	CP	EXXON MOBIL CORP	03/05/21	0.150
12637	09/24/20	Purchase	\$ 10,000,000.00	YCD	ROYAL BANK OF CANADA NY	03/24/21	0.200
12638	09/24/20	Purchase	\$ 20,000,000.00	YCD	OVERSEA-CHINESE BK CO NY	03/26/21	0.190
12629	09/25/20	Purchase	\$ 10,000,000.00	SUPRANATIONAL	INTER-AMERICAN DEVEL BK	09/14/21	0.183
12639	09/25/20	Purchase	\$ 20,000,000.00	GA	FHLMC	09/25/23	0.375
12640	09/25/20	Purchase	\$ 10,000,000.00	CP	EXXON MOBIL CORP	04/21/21	0.180
12641	09/25/20	Purchase	\$ 25,000,000.00	YCD	ROYAL BANK OF CANADA NY	04/20/21	0.190
12642	09/28/20	Purchase	\$ 10,000,000.00	GA	FHLMC	09/28/23	0.303
12643	09/28/20	Purchase	\$ 10,000,000.00	GA	FHLMC	09/28/23	0.302
12644	09/30/20	Purchase	\$ 390,000.00	MUNI	RIO ELEMENTARY SCHOOL DISTRICT	08/01/21	0.323
12645	09/30/20	Purchase	\$ 225,000.00	MUNI	RIO ELEMENTARY SCHOOL DISTRICT	08/01/22	0.523
12646	09/30/20	Purchase	\$ 125,000.00	MUNI	RIO ELEMENTARY SCHOOL DISTRICT	08/01/23	0.638
12647	09/30/20	Purchase	\$ 500,000.00	MUNI	MORAGA ELEMENTARY SCHOOL DISTRICT	11/01/20	0.200