

## **APPENDIX B**

### **Disclosure Categories**

Subject to the definitions set forth in the Political Reform Act and applicable regulations:

#### **Category 1 — BROADEST DISCLOSURE**

- (1) All sources of income including gifts, loans and travel payments;
- (2) All interests in real property; and
- (3) All investments and business positions in business entities.

#### **Category 2— REAL PROPERTY**

All interests in real property.

#### **Category 3— LAND DEVELOPMENT AND TRANSACTION**

All investments, business positions and income including gifts, loans and travel payments, from sources of the type which engage in land development, construction, or acquisition or sale of real property.

#### **Category 4— PROCUREMENT**

All investments, business positions and income including gifts, loans and travel payments, from sources of the type which provide services, facilities, supplies, materials, machinery or equipment of the type utilized by the County of Ventura.

#### **Category 5— PROCUREMENT — DEPARTMENT/AGENCY- SPECIFIC**

All investments, business positions and income including gifts, loans and travel payments, from sources of the type which provide services, facilities, supplies, materials, machinery or equipment of the type utilized by the department(s), agency(ies) and/or programs as to which the designated position has responsibility.

#### **Category 6— REGULATION AND PERMITTING**

All investments, business positions and income including gifts, loans and travel payments, from sources of the type which are subject to the regulatory, permitting or licensing authority of, or have an application or license pending before, the department(s), agency(ies) and/or programs as to which the designated position has responsibility.

#### **Category 7— FUNDING**

All investments, business positions and income including gifts, loans and travel payments, from sources of the type which receive grants or other monies from or through the department(s), agency(ies) and/or programs as to which the designated position has responsibility.

**NOTICE OF HEARING ON ADOPTION OF CONFLICT OF INTEREST CODE**

**for the**

**OJAI VALLEY SANITARY DISTRICT**

NOTICE IS HEREBY GIVEN that the Ojai Valley Sanitary District will conduct a hearing during its regular meeting on September 24, 2018 at the District office located at 1072 Tico Road, Ojai, California for purpose of considering the adoption of a Conflict of Interest Code for the District. A copy of the proposed code is available for inspection in the District office from 8:00 a.m. to 5:00 p.m. Monday through Friday.

Posting Date: September 18, 2018

**DECLARATION OF POSTING**

The undersigned hereby declares that a notice of hearing regarding the adoption of the Conflict of Interest Code for the Ojai Valley Sanitary District was posted at the District office at 1072 Tico Road, Ojai, California.

\_\_\_\_\_  
Signature

BRENDA KROUT — CLERK OF THE BOARD  
Print Name/Title

September 18, 2018  
Date

**Amended  
Conflict of Interest Code**

**RESOLUTION NO. 2024-11**

**RESOLUTION OF THE BOARD OF DIRECTORS  
OF THE OJAI VALLEY SANITARY DISTRICT  
ADOPTING A CONFLICT OF INTEREST CODE**

**WHEREAS**, the Political Reform Act, Government Code Sections 87300-87302 requires state and local government agencies to adopt and promulgate conflict of interest codes;

**AND WHEREAS**, the Fair Political Practices Commission has adopted a regulation, 2 Cal. Code Regs. Section 18730, containing the terms of a standard conflict of interest code which can be incorporated by reference and may be amended by the Fair Political Practices Commission after public notice and hearings to conform to amendments in the Political Reform Act;

**NOW, THEREFORE, BE IT RESOLVED AS FOLLOWS:**

1. The terms of 2 Cal. Code Regs. Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and, along with the attached Appendices "A" and "B" in which members and employees are designated and disclosure categories are set forth, constitute the Conflict of Interest Code of the Ojai Valley Sanitary District.
2. Pursuant to Section 4 of the Standard Code, designated employees shall file statements of economic interests with the District. Upon receipt of the statements from members of the Board of Directors and the General Manager, the District shall make and retain a copy and forward the original of these statements to the Clerk of the Ventura County Board of Supervisors' Office. Other designated employee statements will be retained at the District's office.

This resolution shall take effect upon its adoption.

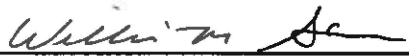
**PASSED AND ADOPTED** this 22nd day of July, 2024, upon the following vote:

AYES: Stone, Quilici, Burg, Curtis, Kentosh, Martinson

NAYS: None

ABSENT: Ulrich

ABSTAIN: None

  
William M. Stone, Chairman

**CERTIFICATION:**

I, James Kentosh, Secretary of the Board of Directors of the Ojai Valley Sanitary District, do certify that the above is a true and accurate copy of Resolution No. 2024-11 adopted by the Board of Directors on July 22, 2024.

  
James Kentosh, Secretary



**CONFLICT OF INTEREST CODE**


**OJAI VALLEY SANITARY DISTRICT**

The Political Reform Act, Government Code section 81000 et seq., requires local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code Regs., § 18730) which contains the terms of a standard Conflict of Interest Code, which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings.

The terms of California Code of Regulations, Title 2, Section 18730, and any amendment to it duly adopted by the Fair Political Practices Commission, are hereby incorporated by reference as the Conflict of Interest Code for the Ojai Valley Sanitary District, and along with the attached Exhibit A, which designates positions requiring disclosure and Exhibit B, which sets forth disclosure categories for each designated position, constitute the Conflict of Interest Code of the Ojai Valley Sanitary District. Persons holding positions designated in Exhibit A shall file Form 700 Statements of Economic Interests with the Filing Officer specified for that position in Exhibit A.

**IN PREPARING THE FORM 700, DESIGNATED FILERS NEED ONLY DISCLOSE THOSE FINANCIAL INTERESTS FALLING WITHIN THE DISCLOSURE CATEGORIES DESIGNATED FOR THAT FILER'S POSITION AS STATED IN EXHIBITS A AND B.**

**APPROVED AND ADOPTED** this 22<sup>nd</sup> day of July 2024:

By:   
Print Name: William M. Stone  
Title: Chairman of the Board of Directors

**EXHIBIT A – DESIGNATED POSITIONS AND FILING OFFICERS**

<b># of POSITIONS</b>	<b>POSITION TITLE</b>	<b>DISCLOSURE CATEGORIES</b> (From Exhibit B)	<b>FILING OFFICER</b> (Designate County Clerk of Board [COB] or Local Agency's Clerk [AC])
<b>7</b>	<b>Board Directors</b>	<b>1</b>	<b>COB</b>
<b>1</b>	<b>General Manager</b>	<b>1</b>	<b>COB</b>
<b>1</b>	<b>Assistant General Manager- Administration</b>	<b>1</b>	<b>AC</b>
<b>1</b>	<b>CIP Project Administrator</b>	<b>1</b>	<b>AC</b>
<b>Consultants<sup>1</sup></b>			

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<sup>1</sup> The disclosure, if any, required of a consultant will be determined on a case-by-case basis by the head of the agency or designee. The determination of whether a consultant has disclosure requirements should be made in writing on a Fair Political Practices Commission Form 805. The determination should include a description of the consultant's duties and based upon that description, a statement of the extent, if any, of the disclosure requirements. Each Form 805 is a public record and should be retained for public inspection either in the same manner and location as the Conflict of Interest Code, or with appropriate documentation at the location where the Conflict of Interest Code is maintained, cross-referencing to the Form 805.

**EXHIBIT B – DISCLOSURE CATEGORIES**

The terms *italicized* below have specific meaning under the Political Reform Act. In addition, the financial interests of a spouse, domestic partner and dependent children of the public official holding the designated position may require reporting. Consult the instructions and reference pamphlet of the Form 700 for explanation.

**Category 1 – BROADEST DISCLOSURE**

[SEE FORM 700 SCHEDULES A-1, A-2, B, C, D and E]

- (1) All sources of *income, gifts, loans and travel payments*;
- (2) All *interests in real property*; and
- (3) All *investments and business positions in business entities*.

**Category 2 – REAL PROPERTY**

[SEE FORM 700 SCHEDULE B]

All interests in real property, including interests in real property held by business entities and trusts in which the public official holds a business position or has an investment or other financial interest.

**Category 3 – LAND DEVELOPMENT, CONSTRUCTION AND TRANSACTION**

[SEE FORM 700 SCHEDULES A-1, A-2, C, D and E]

All investments, business positions and sources of income, gifts, loans and travel payments, from sources which engage in land development, construction, or real property acquisition or sale.

**Category 4 – PROCUREMENT**

[SEE FORM 700 SCHEDULES A-1, A-2, C, D and E]

All investments, business positions and sources of income, gifts, loans and travel payments, from sources which provide services, supplies, materials, machinery or equipment which the designated position procures or assists in procuring on behalf of their agency or department.

**Category 5 – REGULATION AND PERMITTING**

[SEE FORM 700 SCHEDULES A-1, A-2, C, D and E]

All investments, business positions and sources of income, gifts, loans and travel payments, from sources which are subject to the regulatory, permitting or licensing authority of, or have an application or license pending before, the designated position's agency or department.

**Category 6 – FUNDING**

[SEE FORM 700 SCHEDULES A-1, A-2, C, D and E]

All investments, business positions and sources of income, gifts, loans and travel payments, from sources which receive grants or other funding from or through the designated position's agency or department.

**APPENDIX - DESIGNATING OFFICIALS WHO**

**MANAGE PUBLIC INVESTMENTS**

Pursuant to Government Code section 87200 et seq., certain city and county officials, as well as all “other officials who manage public investments,” are required to disclose their economic interests in accordance with the Political Reform Act. This Appendix provides the relevant definitions for determining which public officials qualify as “other officials who manage public investments,” designates the agency’s positions which qualify as such, and states the Filing Officer for each designated position.

**APPLICABLE DEFINITIONS**

As set forth in 2 California Code of Regulations section 18701, the following definitions apply for the purposes of Government Code section 87200:

(1) “Other public officials who manage public investments” means:

(A) Members of boards and commissions, including pension and retirement boards or commissions, or of committees thereof, who exercise responsibility for the management of public investments;

(B) High-level officers and employees of public agencies who exercise primary responsibility for the management of public investments, such as chief or principal investment officers or chief financial managers. This category shall not include officers and employees who work under the supervision of the chief or principal investment officers or the chief financial managers; and

(C) Individuals who, pursuant to a contract with a state or local government agency, perform the same or substantially all the same functions that would otherwise be performed by the public officials described in subdivision (1)(B) above.

(2) “Public investments” means the investment of public moneys in real estate, securities, or other economic interests for the production of revenue or other financial return.

(3) “Public moneys” means all moneys belonging to, received by, or held by, the state, or any city, county, town, district, or public agency therein, or by an officer thereof acting in his or her official capacity, and includes the proceeds of all bonds and other evidences of indebtedness, trust funds held by public pension and retirement systems, deferred compensation funds held for investment by public agencies, and public moneys held by a financial institution under a trust indenture to which a public agency is a party.

(4) “Management of public investments” means the following non-ministerial functions: directing the investment of public moneys; formulating or approving investment policies; approving or establishing guidelines for asset allocations; or approving investment transactions.

**DESIGNATED POSITIONS AND FILING OFFICERS**

Based on the foregoing, the following agency positions and/or consultants qualify as “other officials who manage public investments” and shall file Statements of Economic Interests (Form 700) pursuant to Government Code section 87200 et seq. with the below-designated Filing Officers:

# of POSITIONS	POSITION TITLE/CONSULTANT	FILING OFFICER  (Designate County Clerk of Board [COB] or Local Agency’s Clerk [AC])
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